

# The EMBRACE Methodology for Human Reliability Analysis: An AI-Assisted Expert Evaluation of Its Support Software

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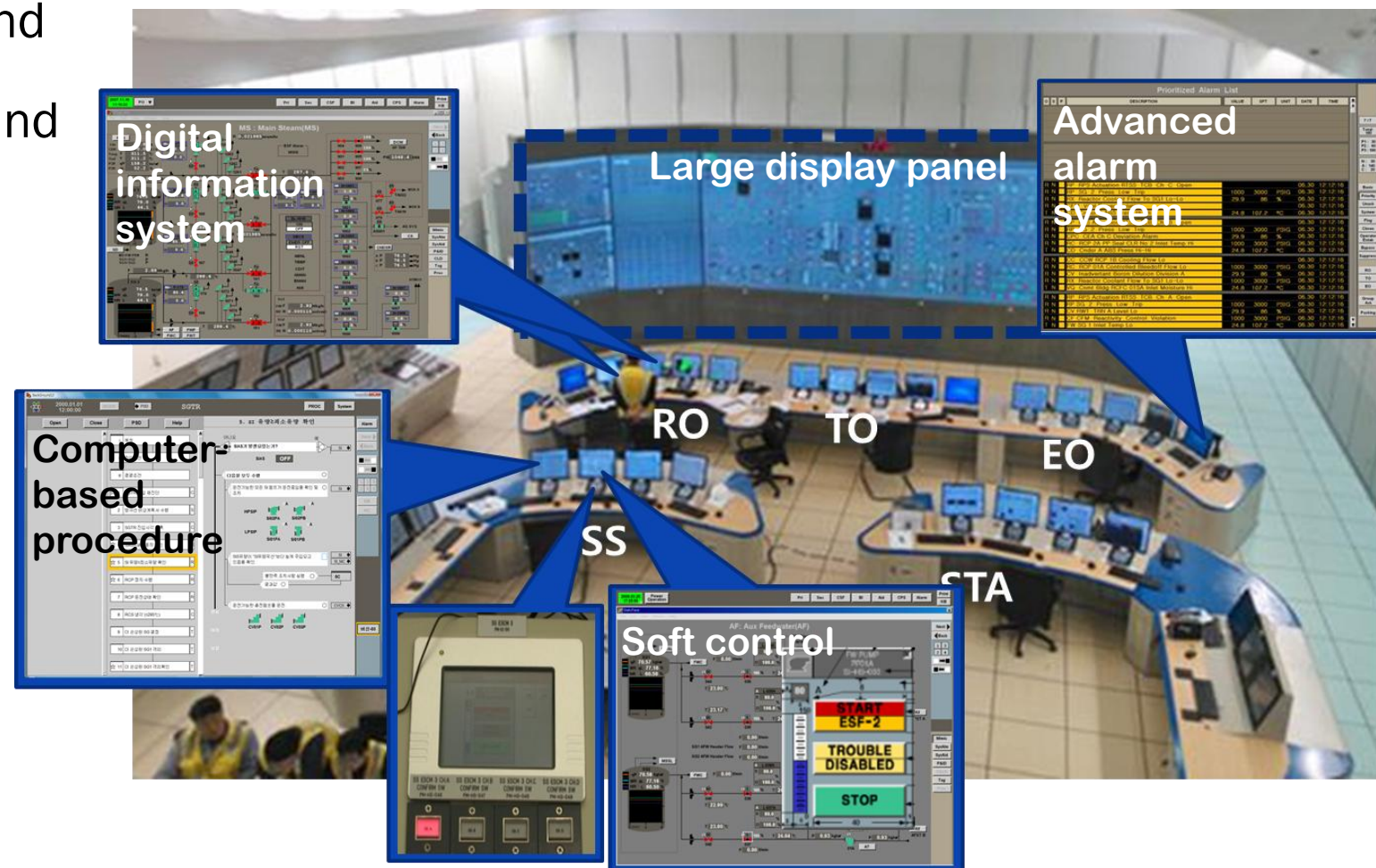
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# Computer-Based Control Room in APR1400



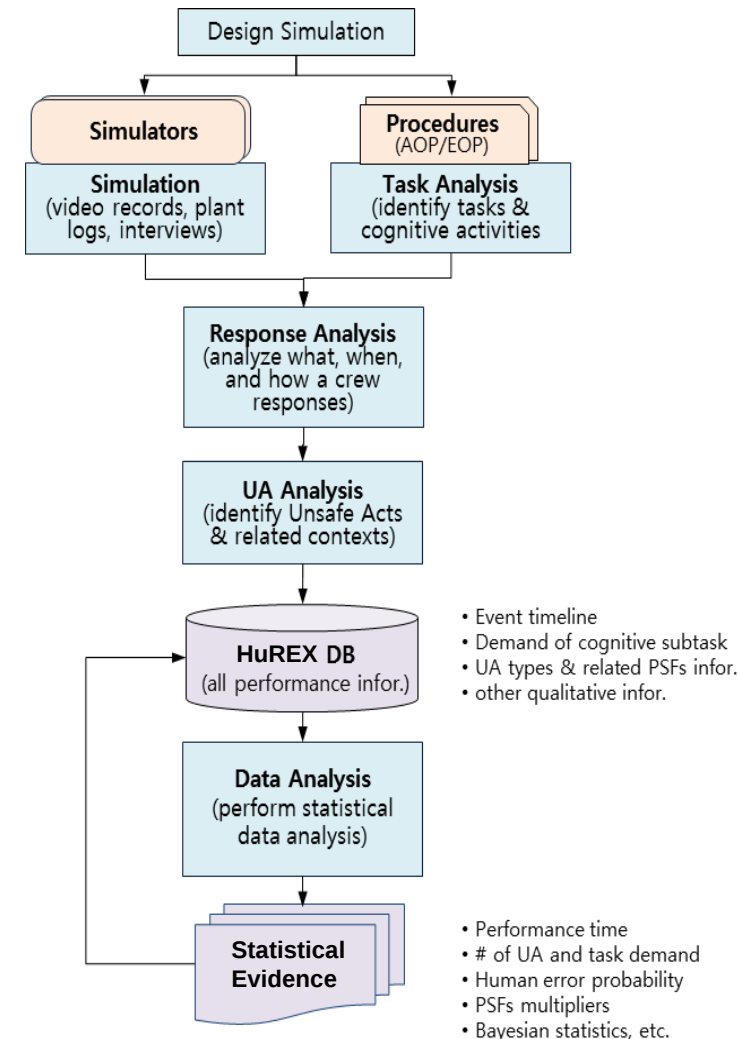
- Human performance issues
  - Instrumentation quality and complexity
  - Control interface quality and complexity
  - Situation awareness
  - Task complexity
  - Communication and coordination
  - Digital fatigue
  - Digital system failure
  - Recovery
  - Trust and reliance
  - Stress
  - Control interface quality



# Development of a New HRA Method



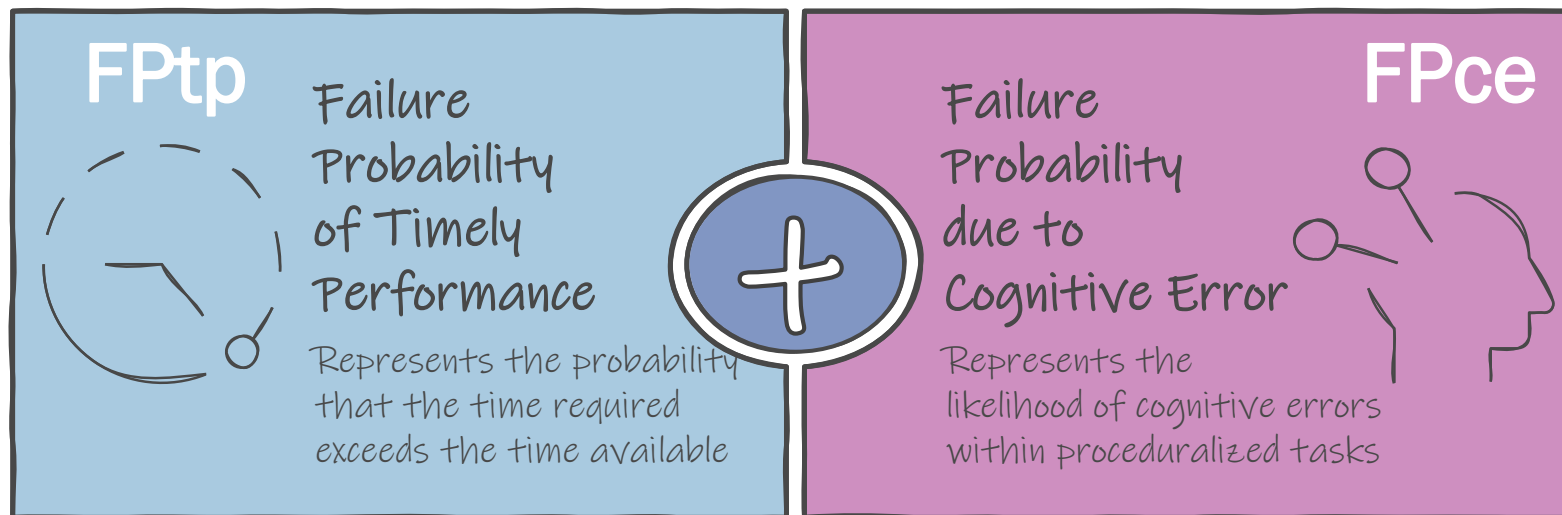
- Realistic reliability estimates considering digital human factors issues
  - Empirical evidence supporting the quantitative reliability estimates
    - Human error probability (HEP)
    - Human performance time
    - Recovery failure probability
    - Dependency factors
    - Uncertainty bounds
    - ...
- U.S. and international benchmarking projects (around 2010)
  - Methods relying on time–reliability curves may not be suitable for assessing diagnostic difficulty.
  - Many HRA methods do not adequately model the cognitive activities expected during scenario response.



# EMBRACE Method



- EMBRACE (EMpirical data-Based crew Reliability Assessment and Cognitive Error analysis)
  - $HEP = FP_{tp} + FP_{ce}$

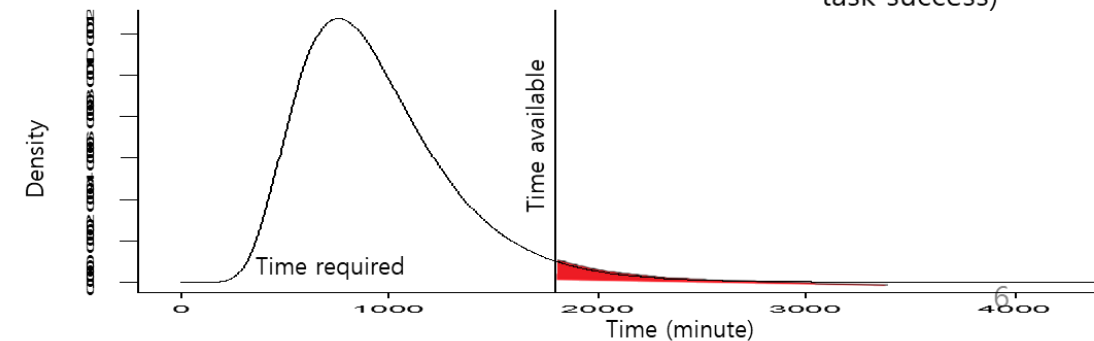
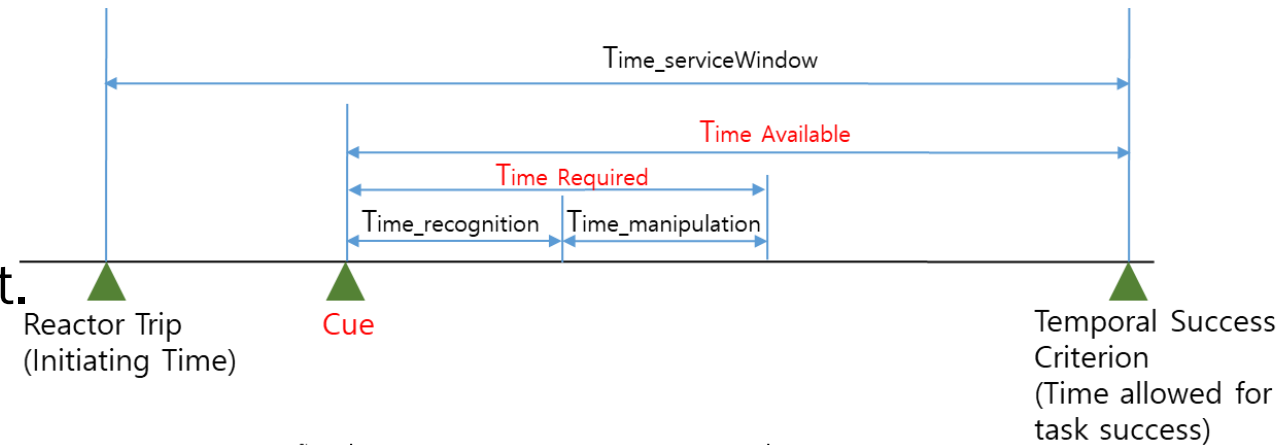


# Failure Probability of Timely Performance (FPtp)



- FPtp
  - Prob [time required ( $T_r$ ) > time available ( $T_a$ )]
- Time available ( $T_a$ )
  - Determined by thermal-hydraulic analysis or expert judgment.
  - Usually applied as a point estimate
- Time required ( $T_r$ )
  - Estimated from simulation data.
  - Human performance time typically follows a log-normal distribution.

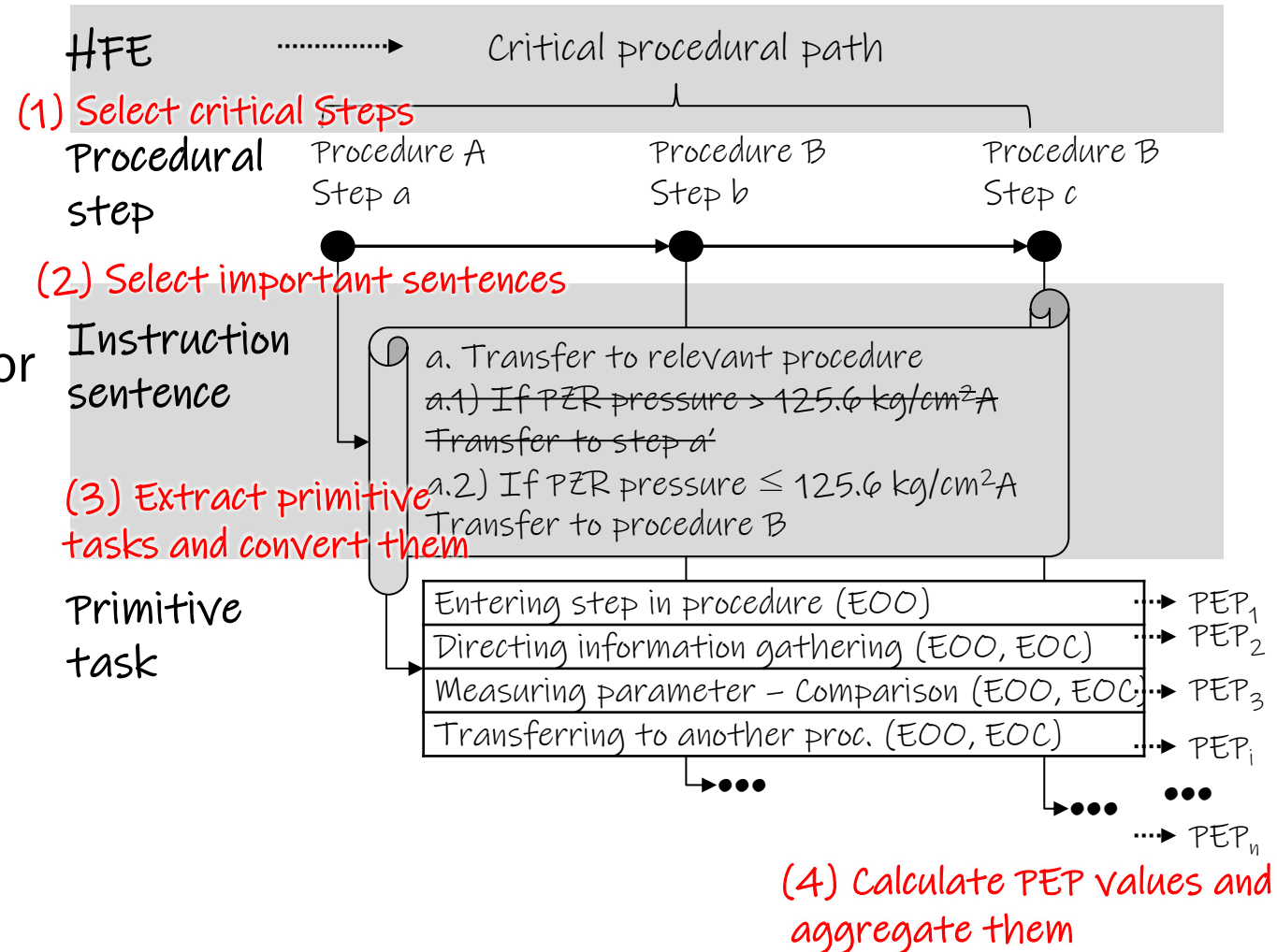
→  $FPtp = 1 - \Phi [\ln(T_a/T_r)/\sigma]$   
 $\sigma = 0.3403$



# Failure Probability due to Cognitive Error (FPce)



- $FP_{ce} = (\sum PEP) * PSF_m * RFP$ 
  - PEP (Primitive error probability)
    - Nominal HEP of primitive tasks
    - Statistical estimates from HuREX data
  - PSF<sub>m</sub> (Performance shaping factor multiplier)
    - Multiplier applied to the sum of PEPs
    - Estimates from expert elicitation
  - RFP (Recovery failure probability)
    - Estimated using traditional dependency rules



# Nominal PEP Values



| Cognitive activity                       | Primitive task type                           | Abbreviation      | PEP (high exp.) |
|------------------------------------------|-----------------------------------------------|-------------------|-----------------|
| Information gathering and reporting (IG) | Verifying alarm occurrence                    | IG-alarm          | 1.10E-04        |
|                                          | Verifying state of indicator                  | IG-indicator      | 1.10E-04        |
|                                          | Synthetically verifying information           | IG-synthesis      | 1.51E-03        |
|                                          | Reading simple value                          | IG-value          | 1.10E-04        |
|                                          | Comparing parameters                          | IG-comparison     | 1.10E-04        |
|                                          | Comparing in graph constraint                 | IG-graph          | 1.10E-04        |
|                                          | Comparing for abnormality                     | IG-abnormality    | 1.10E-04        |
|                                          | Evaluating trend                              | IG-trend          | 3.08E-04        |
| Situation interpreting (SI)              | Diagnosing                                    | SI-diagnosis      | 2.16E-03        |
|                                          | Identifying current overall status            | SI-identification | 2.16E-03        |
|                                          | Predicting                                    | SI-prediction     | 2.16E-03        |
| Response planning and instruction (RP)   | Entering the succeeding step in the procedure | RP-entry          | 1.85E-04        |
|                                          | Transferring to another proc.                 | RP-procedure      | 5.62E-03        |
|                                          | Transferring to step in the same procedure    | RP-step           | 5.62E-03        |
|                                          | Directing information acquisition             | RP-information    | 6.22E-05        |
|                                          | Directing (simple/dynamic) manipulation       | RP-manipulation   | 1.96E-03        |
|                                          | Directing external notification/request       | RP-notification   | 1.85E-04        |
| Execution (EX)                           | Manipulation - Simple (discrete) control      | EX-discrete       | 1.84E-03        |
|                                          | Manipulation - Simple (continuous) control    | EX-continuous     | 1.53E-02        |
|                                          | Manipulation - Dynamic manipulation           | EX-dynamic        | 1.49E-02        |
|                                          | Notifying/requesting outside the MCR          | EX-notification   | 1.84E-03        |

# PEP Aggregation (Example)



| Step     | ID     | Sentence                                                                                                       | Primitive task (#, PEP)                                                                                                       | Sum      |
|----------|--------|----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|----------|
| DA, 5    | 0      | Goal: Check SG level                                                                                           | • RP-Entry (1, 3.38E-04)                                                                                                      | 1.22E-02 |
|          | 1      | Is the level of a SG lower than 30%? (if yes, go to step #9, otherwise, go to step #10 for the LOAF procedure) | • RP-Information (1, 2.35E-03)<br>• IG-Comparison (1, 1.04E-04)<br>• RP-Step (1, 9.45E-03)                                    |          |
| LOAF, 10 | 0      | Goal: Check heat removal from SG                                                                               | • RP-Entry (1, 6.76E-04)                                                                                                      | 2.94E-02 |
|          | 1      | Check if the SG level is lower than 1% & go to contingency action part if not satisfied                        | • RP-Information (1, 4.69E-03)<br>• IG-Comparison (1, 4.16E-04)<br>• RP-Step (1, 1.89E-02)                                    |          |
| FRP-#, 7 | 1(CA*) | Go to the 1st step of the FRP-#                                                                                | • RP-Procedure (1, 4.69E-03)                                                                                                  | 1.79E-02 |
|          | 0      | Goal: Prepare feed and bleed                                                                                   | • RP-Entry (1, 6.76E-04)                                                                                                      |          |
|          | 1      | Prepare the feed and bleed by performing the following:                                                        | -                                                                                                                             |          |
|          | 1(1)   | Verify the safety valves are operable                                                                          | -                                                                                                                             |          |
|          | 1(2)   | Supply power to the safety valves                                                                              | • RP-Notification (1, 6.76E-04)<br>• EX-Notification (1, 1.21E-02)<br>• EX-Local-Discrete (1, 4.47E-03)                       |          |
| FRP-#, 9 | 0      | Goal: Initiate feed and bleed                                                                                  | • RP-Entry (1, 6.76E-04)                                                                                                      | 1.84E-02 |
|          | 1      | If a leak alarm of safety valves has activated and discharge temperature exceeds 160 degrees                   | • RP-Information (1, 6.76E-04)<br>• IG-Alarm (1, 8.31E-04)<br>• RP-Information (1, 6.76E-04)<br>• IG-Comparison (1, 8.31E-04) |          |
|          | 2      | Then, manually open the safety valves                                                                          | • RP-Manipulation (1, 9.86E-03)<br>• EX-Discrete (1, 4.79E-03)                                                                |          |

# PSFm and RFP Estimates



- PSF multiplier (PSFm)
  - If any PSF state within a step is unfavorable, multiply the sum of PEPs by PSFm  $[(\sum PEP) \times PSFm]$
  - The estimates
    - by aggregating the opinions of 12 experts using Cooke's Classical method

| PSF                                          | Proc. Transfer     | Manipulation       |
|----------------------------------------------|--------------------|--------------------|
| Complexity of required task                  | 3                  | 3                  |
| Subjective stress                            | 5<br>(2.5 for FRP) | 5<br>(2.5 for FRP) |
| Complexity of human-machine interface        | 3                  | 5                  |
| Procedure quality                            | 5                  | 5                  |
| Support function of computer-based procedure | 2                  | 3                  |
| Grew dynamics                                | 4                  | 4                  |
| Communication level                          | 2                  | 2                  |
| Training level                               | 5                  | 3                  |
| Perceived importance                         | 10                 | 10                 |

- Recovery sources
  - Shift change recovery
  - Recovery from apparent cue
  - Recheck in stable status
  - STA (shift technical adviser) monitoring recovery
  - Recovery by procedure
- Recovery failure probability (RFP)

| Recovery sources                 | Dependency | RFP   |
|----------------------------------|------------|-------|
| Shift change recovery            | Zero       | 0.016 |
| Recovery from apparent cue       | Zero       | 0.016 |
| Recheck in stable status         | Low        | 0.05  |
| STA monitoring recovery (37 min) | Moderate   | 0.14  |
| STA monitoring recovery (22 min) | High       | 0.5   |
| Recovery by procedure            | High       | 0.5   |

$$FPce = \sum_j^{step} \left[ \left( \sum_i^{PT} PEP_{i,j} \right) \times \prod_k^{PSF} PSFM_{k,j} \right] \times \prod_l^{recovery} RFP_l$$

# Dependency Assessment of Human Failure Events



- Conditional HEP of Subsequent HFE

$$P(B|A) = [TRI + \{PTS + CRD\} * RF] * CS + P(B) * ACE_B$$

Diagram illustrating the components of the equation with color-coded boxes and labels:

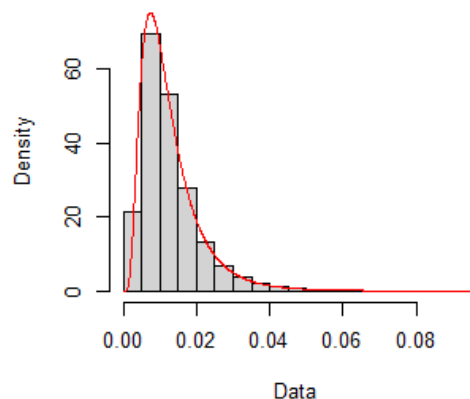
- TRI** (Temporal resource insufficiency) is highlighted with a yellow box.
- PTS** (Procedure transition similarity) and **CRD** (Cue recognition dependency) are grouped together in a green box, with **Feasibility** written below them.
- RF** (Recovery factor) is highlighted with a green box.
- CS** (Crew sameness) is highlighted with a yellow box.
- ACE<sub>B</sub>** (Additional context effect) is highlighted with a blue box, with **PIF change** written below it.
- A bracket labeled **Resource-sharing** spans from the **Feasibility** group to the **ACE<sub>B</sub>** term.

- TRI (Temporal resource insufficiency)
  - The probability that the performance times for the two HFEs overlap
- PTS (Procedure transition similarity)
  - The similarity between the two procedure flows (using a sequence alignment algorithm)
- CRD (Cue recognition dependency)
  - 0: different cues; 0.5: identical or very similar cues
  - The similarity between the instrumentation cues that trigger the two HFEs
- RF (Recovery factor)
  - 0.5: recoverable; 1: unrecoverable
  - The recoverability when *HFE A* has insufficient time while *HFE B* has sufficient time
- CS (Crew sameness)
  - 0: different crews; 1: same crew
  - Whether the same crew is involved in both events
- ACE (Additional context effect)
  - Whether *HFE A* elevates the stress or task complexity level for *HFE B*

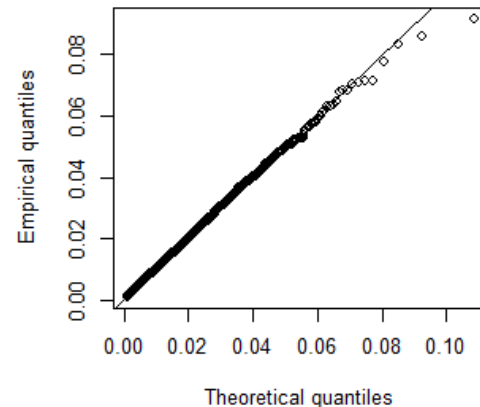
# Parameter Uncertainty Calculation

- Monte-Carlo simulation to understand the distribution of HEPs
  - The lognormal distribution best explains the HEPs across all cases.
- Uncertainty bounds of HEP can be represented by error factors.
  - Generally, as an HEP decreases, its error factor increases.

Empirical and theoretical dens.



Q-Q plot

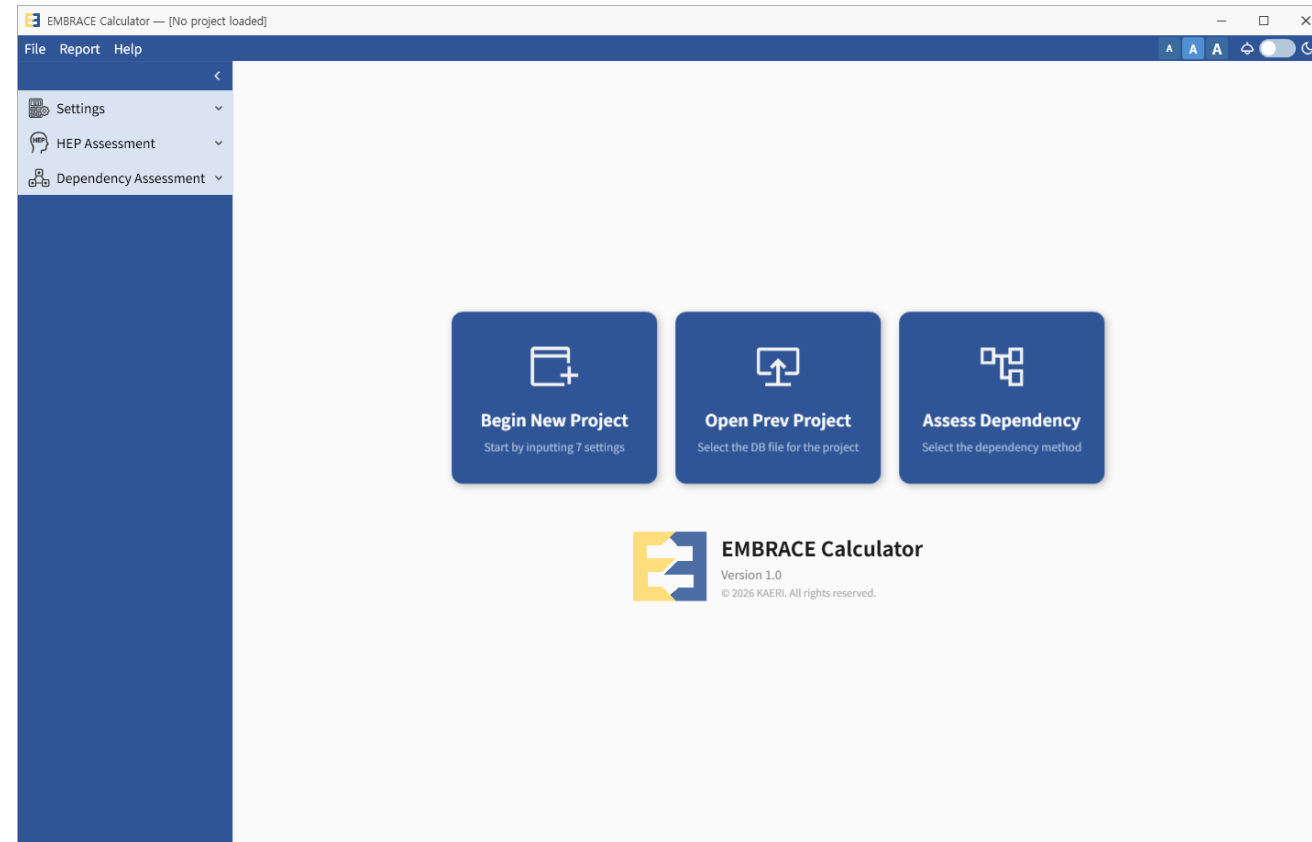


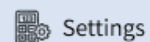
| HEP range                       | Error factor |
|---------------------------------|--------------|
| $0.5 \leq \text{HEP}$           | 1            |
| $0.03 \leq \text{HEP} < 0.5$    | 3            |
| $0.01 \leq \text{HEP} < 0.03$   | 4            |
| $0.006 \leq \text{HEP} < 0.01$  | 5            |
| $0.001 \leq \text{HEP} < 0.006$ | 7            |
| $\text{HEP} < 0.001$            | 10           |

# EMBRACE-Support Software (ESS)



- ESS aids HEP calculation using the EMBRACE framework.
  - Automates the retrieval of empirical data and procedure data
    - Procedure structure
    - Procedure sentences & tasks
    - PEP values
    - PSF multipliers
    - Basic human performance time
  - Visualizes cue timelines and procedural flows
  - Executes probabilistic algorithms for both FPtp and FPce
  - Automates analysis report generation





Settings



HEP Assessment

EMBRACE method



Dependency Assessment

EMBRACE method ×

EMBRACE method

Search Option

HFE

HEP  ~

FPtp  ~

FPce  ~

Proc

HFE list

| <input type="checkbox"/>   | HFE Name    | HEP      | FPtp     |
|----------------------------|-------------|----------|----------|
| ▶ <input type="checkbox"/> | Case1: p... | 1.94E-02 | 1.34E-02 |
| <input type="checkbox"/>   | Example...  | 2.67E-02 | 4.6      |
| <input type="checkbox"/>   | Case2: p... | 3.82E-01 | 8.3      |
| <input type="checkbox"/>   | Pressure... | 1.77E-03 | 1.6      |
| <input type="checkbox"/>   | Example     | 1.68E-02 | 0.0      |
| <input type="checkbox"/>   | Example2    | 3.10E-02 | 2.8      |

Basic Info

FPtp

FPce

Basic Information

HFE ID

Project Name

Plant Name

HFE Name

Description

Analyst

Analysis Date

Reviewer

Review Date

Result

Calc ☒ Sum (FPtp + FPce) ☐ Union (FPtp + FPce - FPtp \* FPce) ☐ Max (FPtp, FPce)

HEP

FPtp  FPce

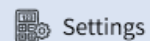
Cognitive HEP  Executive HEP

Lowest assumed  Final HEP

Parameter uncertainty

Uncertainty rule

Error factor



Settings



HEP Assessment

EMBRACE method



Dependency Assessment

EMBRACE method ×

EMBRACE method

Search Option

HFE

Input text

HEP

Input number

~

Input number

FPtp

Input number

~

Input number

FPce

Input number

~

Input number

Proc

Input text (e.g. SPTA, FRP)

Search

HFE list

| <input type="checkbox"/>            | HFE Name    | HEP      | FPtp |
|-------------------------------------|-------------|----------|------|
| <input checked="" type="checkbox"/> | Case1: p... | 1.94E-02 | 1.3  |
| <input type="checkbox"/>            | Example...  | 2.67E-02 | 4.6  |
| <input type="checkbox"/>            | Case2: p... | 3.82E-01 | 8.3  |
| <input type="checkbox"/>            | Pressure... | 1.77E-03 | 1.6  |
| <input type="checkbox"/>            | Example     | 1.68E-02 | 0.0  |
| <input type="checkbox"/>            | Example2    | 3.10E-02 | 2.8  |

Basic Info

FPtp

FPce

HFE Name

Case1: poilot valve open

Time Unit

☐ second ☒ min ☐ hour

Undo

Redo

Time Standard

Reactor trip

Temporal Success Criterion

65

Time Input Basis

Thermal-hydraulic analysis

| Cue Name           | Instr. Cue                          | Instr. CueTime | Proc. Cue                           | Procedure | Step |   | Proc. CueTime | Exe?                                | Execution Period |   |
|--------------------|-------------------------------------|----------------|-------------------------------------|-----------|------|---|---------------|-------------------------------------|------------------|---|
| SG depletion       | <input checked="" type="checkbox"/> | 25             | <input checked="" type="checkbox"/> | LOAF      | 10   | ▶ | 15.9          | <input type="checkbox"/>            | 0                | : |
| Relief valve power | <input type="checkbox"/>            | 0              | <input checked="" type="checkbox"/> | FRP-CC    | 3    | ▶ | 3             | <input checked="" type="checkbox"/> | 5                | : |
| Relief valve open  | <input checked="" type="checkbox"/> | 30             | <input checked="" type="checkbox"/> | FRP-CC    | 8    | ▶ | 5             | <input checked="" type="checkbox"/> | 1                | : |
| *                  | <input type="checkbox"/>            |                | <input type="checkbox"/>            |           |      | ▶ |               | <input type="checkbox"/>            |                  | : |

FPtp Detail

Distribution

☒ Lognormal☐ Normal

Sigma 0.3403

Value 0.3403

Calculate FPtp

| Cue # | Cue Name           | Key Cue   | Procedure re-open | Completion Time |
|-------|--------------------|-----------|-------------------|-----------------|
| 1     | SG depletion       | Procedure | False             | 25              |
| 2     | Relief valve power | Procedure | False             | 33              |
| 3     | Relief valve open  | Procedure | False             | 39              |

Result

Reference Cue

SG depletion

RCT

15.9

Treq

23.1

Tavail

49.1

FPtp

1.34E-02

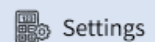
Draw Graph

Graph

Cue 3

33

39



Settings



HEP Assessment

EMBRACE method



Dependency Assessment

EMBRACE method ×

## EMBRACE method

## Search Option

HFE HEP  ~ FPtp  ~ FPce  ~ Proc 

## HFE list

| <input type="checkbox"/>            | HFE Name    | HEP      | FPtp     |
|-------------------------------------|-------------|----------|----------|
| <input checked="" type="checkbox"/> | Case1: p... | 1.94E-02 | 1.34E-02 |
| <input type="checkbox"/>            | Example...  | 2.67E-02 | 4.6E-02  |
| <input type="checkbox"/>            | Case2: p... | 3.82E-01 | 8.3E-01  |
| <input type="checkbox"/>            | Pressure... | 1.77E-03 | 1.6E-03  |
| <input type="checkbox"/>            | Example     | 1.68E-02 | 0.00E+00 |
| <input type="checkbox"/>            | Example2    | 3.10E-02 | 2.8E-02  |

New

Delete

Duplicate

## Basic Info

## FPtp

## FPce

|                   |                                     |    |                                     |        |   |   |   |                                     |   |   |  |
|-------------------|-------------------------------------|----|-------------------------------------|--------|---|---|---|-------------------------------------|---|---|--|
| Relief valve open | <input checked="" type="checkbox"/> | 30 | <input checked="" type="checkbox"/> | FRP-CC | 8 | ▶ | 5 | <input checked="" type="checkbox"/> | 1 | : |  |
| *                 | <input type="checkbox"/>            |    | <input type="checkbox"/>            |        |   | ▶ |   | <input type="checkbox"/>            |   | : |  |

## FPtp Detail

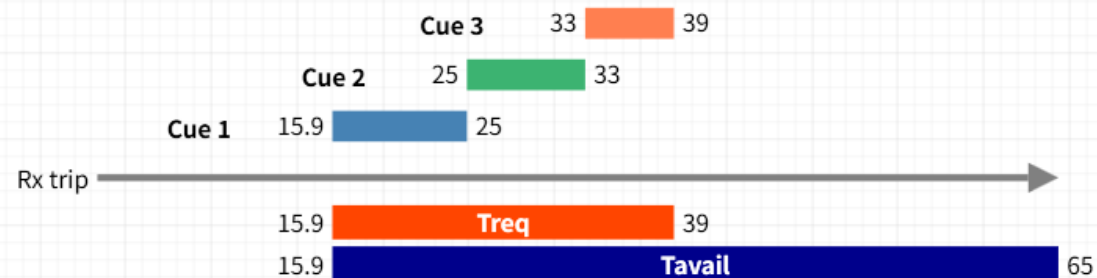
Distribution ☒ Lognormal ☐ Normal Sigma  Value  

| Cue # | Cue Name           | Key Cue   | Procedure re-open | Completion Time |
|-------|--------------------|-----------|-------------------|-----------------|
| 1     | SG depletion       | Procedure | False             | 25              |
| 2     | Relief valve power | Procedure | False             | 33              |
| 3     | Relief valve open  | Procedure | False             | 39              |

## Result

Reference Cue  RCT  Treq  Tavail  FPtp  

## Graph



&gt; EMBRACE method X

## EMBRACE method

## Search Option

|      |                             |              |
|------|-----------------------------|--------------|
| HFE  | Input text                  |              |
| HEP  | Input number ~              | Input number |
| FPtp | Input number ~              | Input number |
| FPce | Input number ~              | Input number |
| Proc | Input text (e.g. SPTA, FRP) |              |

Search

## HFE list

| <input type="checkbox"/>            | HFE Name       | HEP      | FPtp |
|-------------------------------------|----------------|----------|------|
| <input checked="" type="checkbox"/> | Case1: poi...  | 1.94E-02 | 1.3  |
| <input type="checkbox"/>            | Example ca...  | 2.67E-02 | 4.0  |
| <input type="checkbox"/>            | Case2: poil... | 3.82E-01 | 8.0  |
| <input type="checkbox"/>            | Pressure c...  | 1.77E-03 | 1.0  |
| <input type="checkbox"/>            | Example        | 1.68E-02 | 0.0  |
| <input type="checkbox"/>            | Example2       | 3.10E-02 | 2.0  |

## Basic Info

## FPtp

## FPce

## HFE Name

Case1: pilot valve open

Undo

Redo

## Start Step

&lt;Reactor trip&gt;

&lt;Reactor trip&gt;



Generate Task Breakdown

## Waypoint (1)

LOAF

10



Builds primitive task rows from the selected Start → End steps (including waypoints).

## End Step

FRP-CC

8

## Procedure Flow and Primitive Tasks



| Proc | Step | Important?                          | SubStep | Content                                                      | TaskType          | PEP      | Perf. # | Step Sum | Step Type | PSF     |
|------|------|-------------------------------------|---------|--------------------------------------------------------------|-------------------|----------|---------|----------|-----------|---------|
| SPTA | 10   | <input type="checkbox"/>            | 0       | Enter Emergency-01 (RT)                                      | RP-Entry          | 1.85E-04 | 0       | 0.00E+00 | Trans     | Default |
|      |      | <input type="checkbox"/>            | 1       | Are all safety function criteria met, and no actions take... | SI-Identification | 2.16E-03 | 0       |          |           |         |
|      |      | <input type="checkbox"/>            |         |                                                              | RP-Step           | 5.62E-03 | 0       |          |           |         |
|      |      | <input type="checkbox"/>            | 2       | Enter DA procedure.                                          | RP-procedure      | 5.62E-03 | 0       |          |           |         |
| DA   |      | <input checked="" type="checkbox"/> | 0       | Verify Containment pressure trend                            | RP-Entry          | 9.25E-05 | 1       | 3.77E-03 | Trans     | Default |
|      |      | <input checked="" type="checkbox"/> |         |                                                              | RP-Information    | 3.11E-05 | 1       |          |           |         |
|      |      | <input type="checkbox"/>            |         |                                                              | IG-Comparison     | 5.50E-05 | 4       |          |           |         |
|      |      | <input type="checkbox"/>            |         |                                                              | IG-Trend          | 1.54E-04 | 4       |          |           |         |
| LOAF | 10   | <input checked="" type="checkbox"/> |         |                                                              | RP-Step           | 2.81E-03 | 1       | 1.29E-02 | Trans     | Default |
|      |      | <input checked="" type="checkbox"/> | 0       | Verify adequacy of heat removal via Secondary Units          | RP-Entry          | 1.85E-04 | 1       |          |           |         |

Add Row

Delete Row

Duplicate Row

☒ Select all tasks as important

## Recovery Failure Probability (RFP) ⚙️ ↻

## Crew/Cue/Procedure Recovery

- ☐ Shift change recovery (0.0164)
- ☐ Recovery from apparent cue (0.0164)
- ☐ Recheck in stable status (0.05)

## STA Monitoring Recovery

- ☒ 1st attempt (22min<=Time margin<37min) (0.5)
- ☐ 2nd attempt (Time margin>=37min) (0.14)
- ☐ No credit (1)

Calculate FPce

## Total PEP

2.40E-02

## RFP

2.50E-01

## FPce

6.01E-03

New

Delete

Duplicate

Settings

HEP Assessment

EMBRACE method

Dependency Assessment

EPRI dependency method

EMBRACE dependency method

EMBRACE method X

EMBRACE dependency method X

## EMBRACE Dependency

Search Option

Name Input text

Reference Input text

JHEP Input number

~ Input number

Search

| <input type="checkbox"/>            | HFE Pair    | JHEP     | HEP (A) |
|-------------------------------------|-------------|----------|---------|
| <input checked="" type="checkbox"/> | LOAF-sta... | 1.27E-03 | 3.50E-0 |
| <input type="checkbox"/>            | LOAF-sta... | 8.01E-04 | 3.50E-0 |
| <input type="checkbox"/>            | SGTR pre... | 8.72E-04 | 1.90E-0 |

Import

Export

Undo

Redo

$$\text{CHEP(B)} = [\text{TRI} + (\text{PTS} + \text{CRD}) * \text{RF}] * \text{CS} + \text{HEP(B)} * \text{ACE}$$

## Calculate CHEP

Crew Sameness (CS)

☒ Same☐ Different

Temporal Resource Insufficiency (TRI)

Sigma value assumed

☒ 0.3403☐ 0.57☐ Else 0

TRI

3.41E-03

Procedure Transition Similarity (PTS) ?

Similarity score btw HFE (A) &amp; (B)

1

Maximum similarity score

3 (A:[2], B:[4])

PTS

3.33E-01

Cue Recognition Dependency (CRD)

☒ Same cue location☒ Same cue object☐ No additional cue

CRD

0

Recovery Factor (RF)

☒ Crew review for HFE (A) recovery?

Time margin (A)

30.9

Time margin (B)

26.0

Demanded recheck time

70

RF

1

Additional Contextual Effect (ACE)

☐ Additional stress☐ Increased task complexity

ACE

1

CHEP (B)

3.63E-01

HEP(A) \* CHEP(B)

1.27E-03

ID 202603041831-001

HFE Pair Name LOAF-startup &amp; F&amp;B\_more time margin

Remark

Enter the text

## Individual HEP (A)

Ref

Reference HFE

Example case - Startup s

Individual HEP 3.50E-03

Initial time point 45

Time required (length) 11.1

Time available (length) 42

Procedure transition

DA\_31, LOAF\_6

Description

During loss of all supply water, the operator start the startup supply pump.

## Individual HEP (B)

Ref

Reference HFE

Case1: pilot valve open

Individual HEP 2.61E-02

Initial time point 65

Time required (length) 23.1

Time available (length) 49.1

Procedure transition

DA\_31, LOAF\_10, FRP-CC\_3, FRP-CC\_8

Description

During loss of all supply water, the operator open the motor-operated pilot valve by following FRP procedure.

New

Delete

Duplicate

## EMBRACE method

## Search Option

|      |                             |                |
|------|-----------------------------|----------------|
| HFE  | Input text                  |                |
| HEP  | Input number                | ~ Input number |
| FPtp | Input number                | ~ Input number |
| FPce | Input number                | ~ Input number |
| Proc | Input text (e.g. SPTA, FRP) |                |

Search

## HFE list

| <input type="checkbox"/>            | HFE Name       | HEP      | FPtp |
|-------------------------------------|----------------|----------|------|
| <input type="checkbox"/>            | Case1: poil... | 1.94E-02 | 1.0  |
| <input type="checkbox"/>            | Example ca...  | 2.67E-02 | 4.0  |
| <input type="checkbox"/>            | Case2: poil... | 3.82E-01 | 8.0  |
| <input type="checkbox"/>            | Pressure c...  | 1.77E-03 | 1.0  |
| <input type="checkbox"/>            | Example2       | 3.10E-02 | 2.0  |
| <input checked="" type="checkbox"/> | Example...     | 0.00E+00 | 0.0  |

## Basic Info

## FPtp

## FPce

HFE Name

Example HFE

Undo

Redo

Start Step

Select Procedure

Select Step



Generate Task Breakdown

End Step

Select Procedure

Select Step

Builds primitive task rows from the selected Start → End steps (including waypoints).

## Procedure Flow and Primitive Tasks

Procedure flow diagram will appear here.

Primitive task list will appear here.

| Proc | Step | Important? | SubStep | Content | TaskType | PEP | Perf. # | Step Sum | Step Type | PSF |
|------|------|------------|---------|---------|----------|-----|---------|----------|-----------|-----|
|------|------|------------|---------|---------|----------|-----|---------|----------|-----------|-----|

Add Row

Delete Row

Duplicate Row

☒ Select all tasks as important

## Recovery Failure Probability (RFP) ⚙️ ↺

## Crew/Cue/Procedure Recovery

- ☐ Shift change recovery (0.0164)
- ☐ Recovery from apparent cue (0.0164)
- ☐ Recheck in stable status (0.05)
- ☐ Recovery by procedure (0.5)

## STA Monitoring Recovery

- ☐ 1st attempt (22min<=Time margin<37min) (0.5)
- ☐ 2nd attempt (Time margin>=37min) (0.14)
- ☐ No credit (1)

\* Tavail = 0; Treq = 0; Time margin = 0

Calculate FPce

Total PEP

0.00E+00

RFP

1.00E+00

FPce

0.00E+00

New

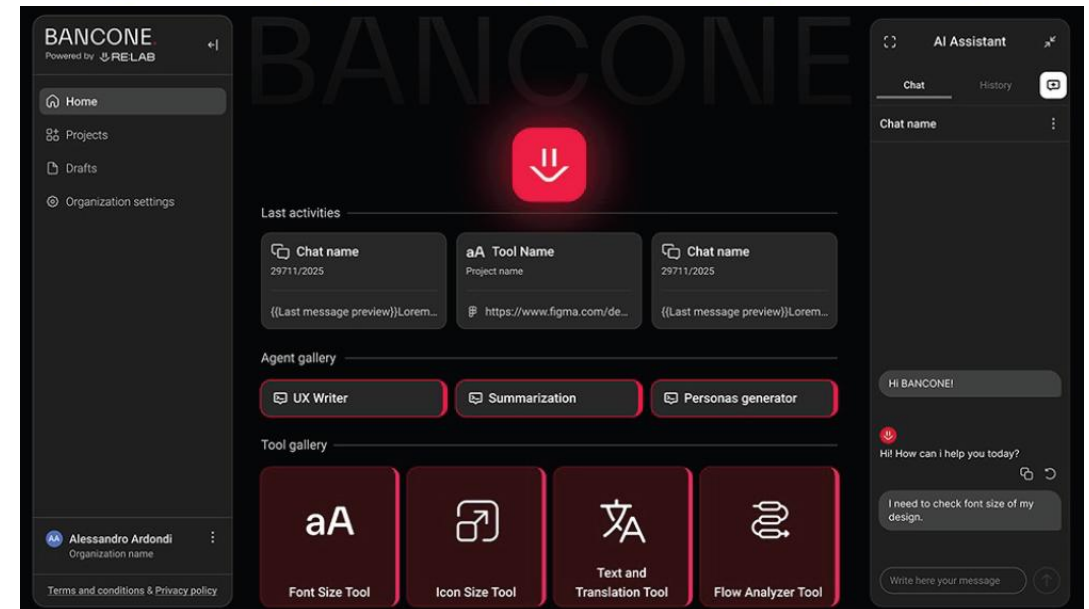
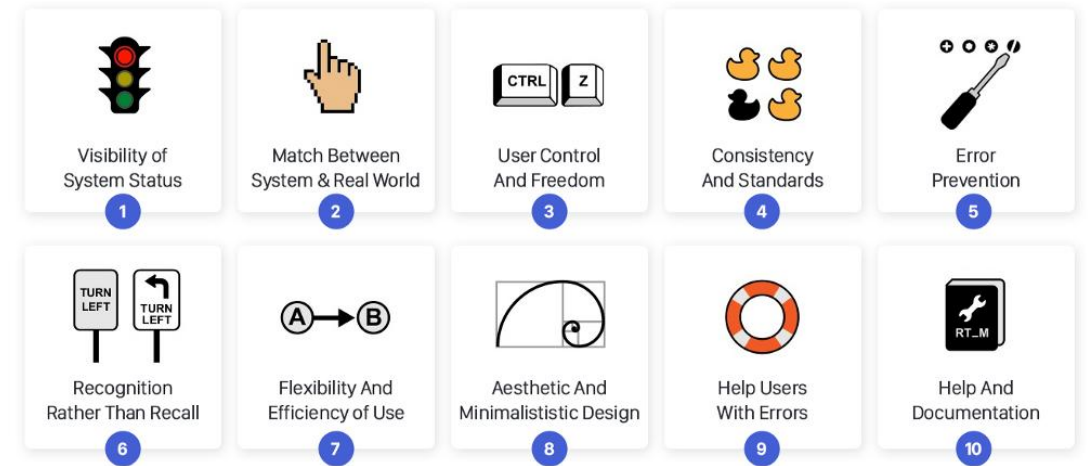
Delete

Duplicate

# Usability Evaluation Method



- The usability of software under development was evaluated.
  - 5 experts in human-factors and human-computer interaction
    - Nielsen's 10 usability heuristics
    - Cognitive and visual risk evaluation
  - AI-assisted expert evaluation
    - BANCONE: a RAG-based AI platform to support assessing, designing, and localizing user interfaces.
    - Developed by RE:LAB: an Italian company with over 20 years of expertise in Human-Machine Interface (HMI) design and user experience



RAG: Retrieval-Augmented Generation

# Usability Evaluation Process



## Preparation & Definition

1. Understand the EMBRACE Method and ESS
2. Establish Evaluation Frameworks
3. Define Tasks and Scenarios for Analysis

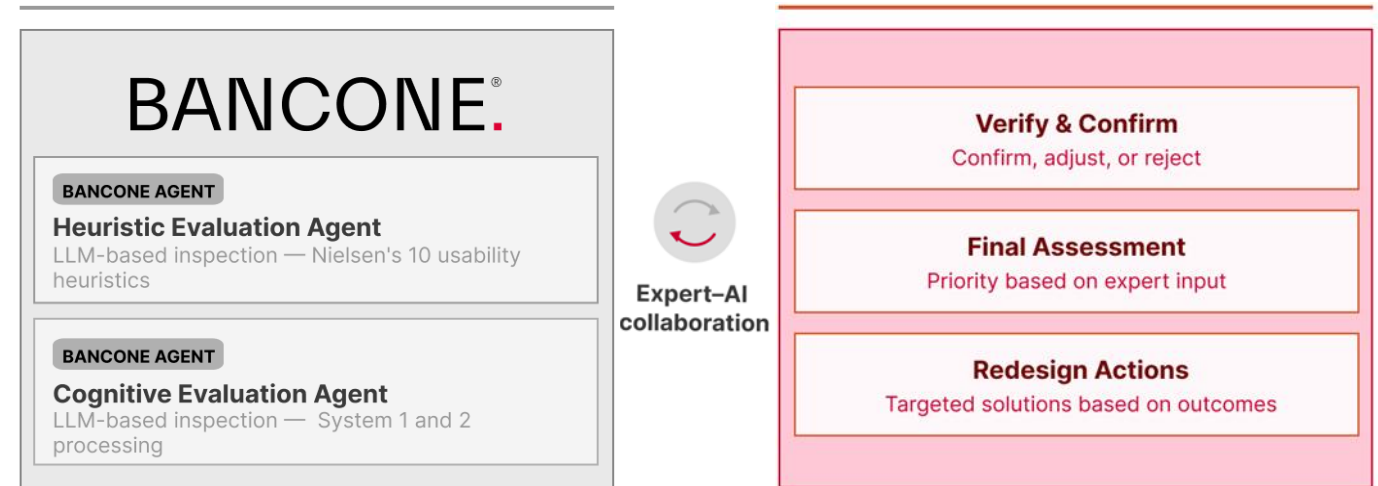
## AI-Assisted Evaluation

4. Execute AI-Driven Analysis via BANCONE
5. Synthesize AI-Generated Diagnostic Outputs

## Integration & Reporting

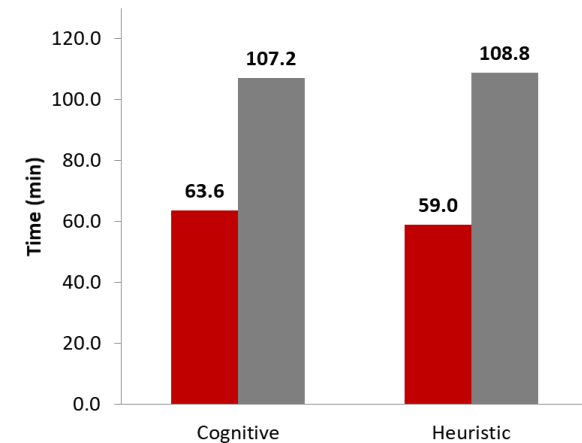
6. Compare and Integrate AI-Driven and Expert-Driven Findings
7. Assess Usability Levels and Derive Redesign Recommendations
8. Generate the Final Usability Report

- Expert-AI collaboration for usability evaluation



- The AI-assisted process improves the evaluation efficiency.

- AI + expert evaluation time
- Expert only evaluation time



# Usability Evaluation Result



- Total Identified Issues: 81
  - Severity 4: 17 (21%)
  - Severity 3: 26 (32%)
  - Severity 2 or 1: 38 (47%)
- Violated Usability Categories
  - Consistency and Standards: Overlapping menu interactions and mismatched button behaviors.
  - Aesthetic and Minimalist Design: Excessive technical abbreviations and cluttered display areas.
  - Visibility of System Status: Lack of instant feedback.
  - Error Prevention: Absence of confirmation dialogs before destructive actions.

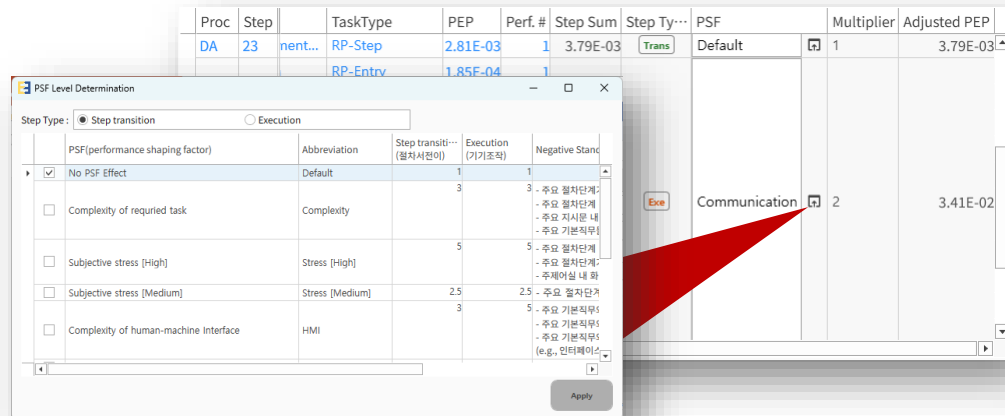
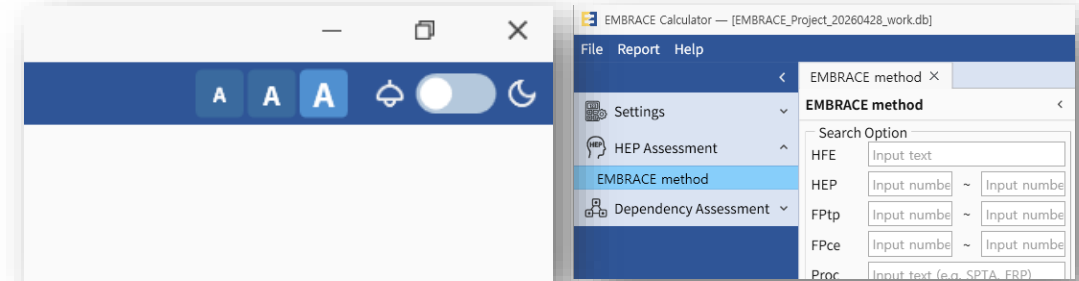
| ID | Issue Description                                                                                                                                                                                                                                       | Severity | Violated Heuristic / Cognitive Problem Type             | PICTURE EXAMPLE | Redesign Suggestion                                                                                        |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------------------------------------------|-----------------|------------------------------------------------------------------------------------------------------------|
| 4  | Top menu items ('File', 'Report', 'Help') are very small, have insufficient color contrast, and lack visual prominence.+ Top menu items ('File', 'Report', 'Help') behave inconsistently when clicked, with differing interaction patterns and feedback | 3        | Visibility of System Status + Consistency and Standards |                 | Increase the size of the 'File', 'Report', and 'Help' top bar items to a minimum of 14px (16px on mobile), |
| 5  | When moving among tabs, the left navigation menu highlights the previously opened tab instead of the currently active one.                                                                                                                              | 2        | Visibility of System Status                             |                 | Ensure the left navigation menu always highlights the currently active tab rather than the                 |
| 6  | The HFE list uses checkboxes suggesting multi-selection, but only one HFE can be displayed at a time, creating a misleading interaction pattern.                                                                                                        | 3        | Consistency and Standards                               |                 | Replace checkboxes in the HFE list with a single-selection mechanism (e.g., a                              |
| 7  | The HFE Search panel does not allow manual resizing and resets its width when minimized/reopened or when switching tabs.                                                                                                                                | 2        | Consistency and Standards                               |                 | Implement resizable panel functionality so users can manually adjust the HFE                               |

# Improvements



## 1 · Font size issues & poor menu navigation feedback

The top menu fonts are too small and cannot be resized, hindering readability. The left navigation menu lacks proper active state highlighting and high-contrast color indicators, which section is currently being operated.



## 3 · Cryptic terminology & non-responsive layout

The interface relies heavily on unexplained acronyms (HFE, HEP, FPtp, PSF, RFP...) with no glossary or help. Some buttons are not visible due to the interface not adapting to screen size.

## 2 · Visual affordance mismatch & incorrect icon convention

The use of dropdown arrows on buttons designed to open pop-up windows creates incorrect interaction expectations for users. These dropdown symbols should be replaced with standardized pop-up or window-launching icons.

## 05 Glossary and Appendix

### 1. Glossary

| HRA Terms |                                                                                                                                                                              |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Term      | Definition                                                                                                                                                                   |
| HRA       | Human Reliability Analysis - A method used to estimate the probability of human errors in complex systems.                                                                   |
| HFE       | Human Failure Event - A specific event in which human error contributes to system failure.                                                                                   |
| HEP       | Human Error Probability - The probability that a human error will occur during a task.                                                                                       |
| FPtp      | Failure Probability of Timely Performance - The risk of the operating crew failing to complete the required actions within the system's safety time limits.                  |
| FPce      | Failure Probability due to Cognitive Error in procedural tasks - The reliability of an operating crew in following procedural tasks with consideration of effects of PSF and |

New

Delete

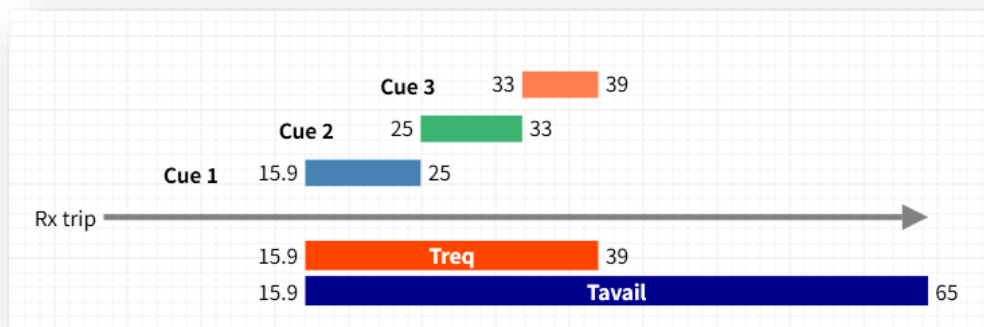
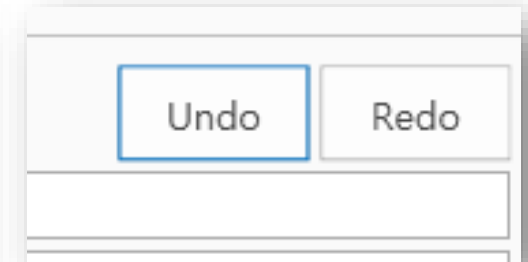
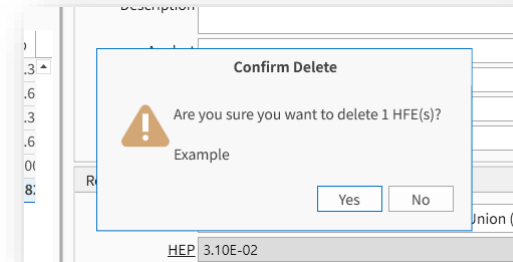
Duplicate

# Improvements



## 4 • Error prevention & recovery failures

Destructive actions (delete HFE, delete row) proceed without confirmation. Undo is non-functional for critical operations. No real-time field validation — errors surface only at calculation time, making them hard to trace.



## 5 • Inadequate legend & visual key in timeline graph

The timeline graph generated alongside the FPtp calculation lacks proper legends for the elapsed time of each cue, "Time Required" (Treq), and "Time Available" (Tavail).

## 6 • Inconsistent visual encoding & color-mapping

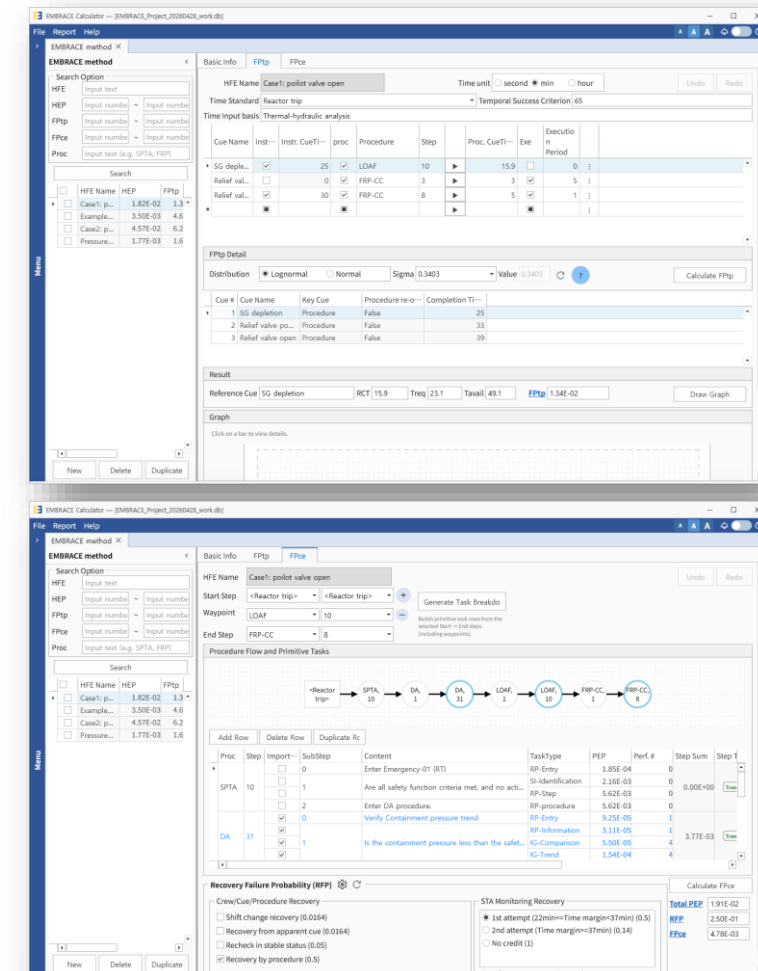
During FPce calculation, the color of the selected critical step nodes in the procedure flowchart does not match the color highlighted in the corresponding primitive task table. This mismatch in color-coding can lead to analyst disorientation.

| Proc | Step | Importa                             | SubStep | Content                                      | TaskType          | PEP      | Perf. # | Step Sum |
|------|------|-------------------------------------|---------|----------------------------------------------|-------------------|----------|---------|----------|
| SPTA | 10   | <input type="checkbox"/>            | 0       | Enter Emergency-01 (RT)                      | RP-Entry          | 1.85E-04 | 0       | 0.00E+00 |
|      |      | <input type="checkbox"/>            | 1       | Are all safety function criteria met, and... | SI-Identification | 2.16E-03 | 0       |          |
|      |      | <input type="checkbox"/>            | 2       | Enter DA procedure.                          | RP-Step           | 5.62E-03 | 0       |          |
| DA   | 31   | <input type="checkbox"/>            | 0       | Verify Containment pressure trend            | RP-Entry          | 9.25E-05 | 1       | 3.14E-03 |
|      |      | <input checked="" type="checkbox"/> | 1       | Is the containment pressure less than th...  | RP-Information    | 3.11E-05 | 1       |          |
|      |      | <input checked="" type="checkbox"/> | 1       |                                              | IG-Comparison     | 5.50E-05 | 1       |          |
|      |      | <input checked="" type="checkbox"/> | 1       |                                              | IG-Trend          | 1.54E-04 | 1       |          |
|      |      | <input checked="" type="checkbox"/> | 1       |                                              | RP-Step           | 2.81E-03 | 1       |          |

# Summary and Future Work



- Summary: The EMBRACE Method & ESS
  - Empirical HRA Framework: A novel, data-driven HRA method designed for empirical HEP quantification, dependency assessment, and parameter uncertainty estimation.
  - Automated Support Tool (ESS): Seamlessly links procedural and temporal data with HFES, automatically generating dynamic visual flowcharts for analysts.
  - Hybrid Usability Validation: Conducted an expert-AI collaborative evaluation via the BANCONE platform, systematically identifying and classifying 81 usability issues to drive system redesign.
- Future work
  - Regulatory Application: The EMBRACE method and ESS will be employed in the regulatory review of APR1400 PSA.
  - SMR HRA Extension: Ongoing research is extending the methodology to Small Modular Reactors (SMRs), utilizing empirical human performance data collected from laboratory experiment campaigns.



# Comparison with EPRI Calculator



EPRI HRA Calculator

Menu Options: Import/Export, Dependency Analysis

New File, Edit, Procedures, Locations, Custom EDC/DOO, Crew Composition, New, Recalculate all, Word Reports, User's Manual

Open File, Restore Backup, Cues, Step Library, Options, Delete, Uncertainty, Excel Reports, About

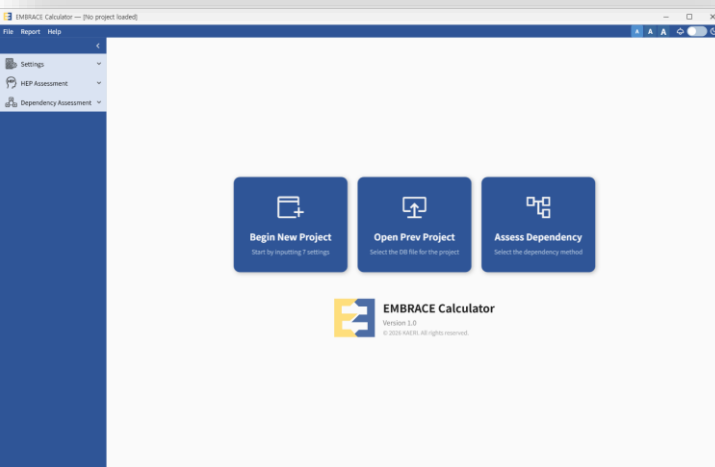
Close File, Save, File, Instrumentation, Libraries, Setup, Rename, Relationships, HRA Dockassist Report, Reports, Help

Summary

Group: All Find: Lollapse All Expand All Excel Export C:\Users\Wuser\OneDrive\B\AI\WEPRIHRA Calculator 6.0\Wdemo.hra

Drag a column header here to group by that column.

| Basic Event | Method                     | Picog   | Picov   | Total HEP | Pre/Post | Risk SI | Basic Event Description                                                                                                    | Additional Int     | Groups | Tags |
|-------------|----------------------------|---------|---------|-----------|----------|---------|----------------------------------------------------------------------------------------------------------------------------|--------------------|--------|------|
| MCR-ABANDON | Decision to Abandon MCR    |         |         |           | Post     | N/A     |                                                                                                                            |                    |        |      |
| OP-AF-STL-H | CBDM/HCR Combination (Mac) | 6.5E-05 | 7.1E-03 | 7.1E-03   | Post     | NO      | Operators Fail to Start and Control AFW Locally                                                                            | Picog from HCR/ORE |        |      |
| OP-AF-STB-H | CBDM/HCR Combination (Mac) | 4.3E-04 | 1.7E-03 | 2.1E-03   | Post     | NO      | Operators Fail to Manually Start AFW from MCR                                                                              | Picog from CBDM    |        |      |
| OP-B5-TAB-H | CBDM/HCR Combination (Mac) | 9.0E-05 | 2.6E-05 | 3.5E-05   | Post     | NO      | Operator Fails to Cross-Tie Bus 6IA to 6IB Locally (Open EP-CB-11-B, Close EP-CB-14B, Close EP-CB-18A)                     | Picog from CBDM    |        |      |
| OP-B5-XAB-H | CBDM/HCR Combination (Mac) | 3.8E-05 | 8.3E-05 | 4.4E-05   | Post     | NO      | Operator Fails to Cross-Tie 480V AC Bus 11A to 480V AC Bus 11B Locally (Open EP-CB-11-B, Close EP-CB-14B, Close EP-CB-18A) | Picog from CBDM    |        |      |
| OP-CG-CST-H | CBDM/HCR Combination (Mac) | 1.0E-05 | 0.0E+00 | 1.0E-05   | Post     | NO      | Operators fail to diagnose low CST level (Common Cog)                                                                      | Picog from CBDM    |        |      |
| OP-CG-FBI-H | CBDM/HCR Combination (Mac) | 2.5E-04 | 0.0E+00 | 2.5E-04   | Post     | NO      | Operators Fail to Enter FR-H1                                                                                              | Picog from CBDM    |        |      |
| OP-CH-ALT-H | CBDM/HCR Combination (Mac) | 3.0E-03 | 3.4E-03 | 6.4E-03   | Post     | NO      | Operators Fail to Start CCP for RCP Seal Cooling                                                                           | Picog from CBDM    |        |      |
| OP-CW-STR-H | CBDM/HCR Combination (Mac) | 1.5E-03 | 1.7E-03 | 3.2E-03   | Post     | NO      | Operator Fails to Start Standby CCW Pump                                                                                   | Picog from CBDM    |        |      |
| OP-DG-BIA-H | CBDM/HCR Combination (Mac) | 9.0E-05 | 8.5E-02 | 8.5E-02   | Post     | NO      | Operator Fails to Start EDG 6IA Locally                                                                                    | Picog from CBDM    |        |      |
| OP-DG-STB-H | CBDM/HCR Combination (Mac) | 9.0E-05 | 1.3E-05 | 2.5E-05   | Post     | NO      | Operator Fails to Manually Start EDGs after Auto Start Fails                                                               | Picog from CBDM    |        |      |
| OP-EP-BAT-H | CBDM/HCR                   | 9.0E-05 | 1.3E-05 | 1.3E-05   | Post     | NO      | Operator Fails to Re-align Battery                                                                                         | Picog from CBDM    |        |      |



| Feature/Dimension          | EMBRACE Support Software                                                                      | EPRI HRA Calculator                                                                    |
|----------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| Supported HRA Methods      | Focused on EMBRACE method                                                                     | General-purpose (ASEP, CBDM, HCR/ORE, THERP, SPAR-H)                                   |
| Workflow & UI Architecture | Hierarchical lateral navigation (Sequential, workflow-oriented)                               | Top-menu and module-based navigation                                                   |
| Procedure & Task Mapping   | Direct integration of procedure logic & auto-suggested PTs based on HuREX empirical data      | High reliance on manual cross-referencing                                              |
| Timeline Analysis          | Supports temporal data comparison, time-series graphs, and multi-cue reference time Algorithm | Supports temporal data comparison and time-series graph visualizations                 |
| Parameter Uncertainty      | Flexible selection of rules (THERP, SPAR-H, or EMBRACE)                                       | Depends on the selected method                                                         |
| Dependency Analysis        | Dual-track: EPRI decision tree-based and KAERI's multi-variable equation                      | EPRI decision tree-based                                                               |
| PRA Software Integration   | Under development                                                                             | Automated HFE generation via integration with external PRA (e.g., CAFTA/RiskSpectrum)  |
| Market Status & Maturity   | Under software development; focusing on user-friendliness & user familiarization              | Industry standard with an established, extensive global user base (esp. North America) |



# BANCONE AI Agents



| Agent Name                            | Category                                       | Description                                                                                                         | Input Type                                                                                                | Output Type                                                                                                |
|---------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Create and Interact with User Persona | User Research<br>Qualitative Analysis          | Generates detailed user personas for UX/UI design and allow to have a conversation with them.                       | Information about demographics, user goals, behaviors (eg. from interviews, user research activities...). | A comprehensive user persona profiles based on the input data; a direct chat with the personas themselves. |
| Heuristics Analysis                   | HMI Design<br>HMI Design Evaluation            | Evaluates UX/UI designs against established heuristics (e.g. Nielsen&Norman 10 herustics).                          | UI designs, specifications, screenshots.                                                                  | Heuristic evaluation report, improvement suggestions.                                                      |
| KANNY                                 | HMI Design Evaluation                          | Analyzes design from a cognitive perspective, identifying strengths, weaknesses, and opportunities for improvement. | Design specifications, interface images.                                                                  | Validation report, suggestions for improvements.                                                           |
| Cross-cultural HMI Design Recommender | HMI Design<br>HMI Design Evaluation            | Suggests and evaluate HMI design considering cultural differences.                                                  | Design specifications, interface images, target cultures.                                                 | Cutlurally-adapted HMI recommendations.                                                                    |
| Accessibility                         | HMI Design Evaluation                          | A digital accessibility consultant that identifies usability barriers and suggests inclusive design improvements.   | UI elements, websites apps displays interfaces.                                                           | Analysis report, suggestions for improvements.                                                             |
| Copywriting Agent                     | Copywriting support<br>Marketing/Communication | Creates and optimizes interface text across platforms.                                                              | UI element details, brand guidelines.                                                                     | Optimized copy, alternatives, rationale.                                                                   |
| Text and Translation Quality          | Text quality evaluation<br>Copywriting support | Evaluates and improves text translations with respect to the context of use for accuracy and cultural fit.          | Source text, translations (.xlsx, .po file...)                                                            | Quality scores based on various linguistics parameters, improvement suggestions.                           |
| SpecGuru                              | Specifications validation                      | Validate specifications documents for structure, syntax, logical consistency.                                       | Specifications documents.                                                                                 | Validation report, suggestions for improvements.                                                           |
| TestGuru                              | Test case validation                           | Helps creating and validating test cases for product's HMI.                                                         | specifications files, already-developed test cases.                                                       | New generated test cases, test case validation report.                                                     |

# BANCONE Report

- Report Example
  - “Evaluate the software usability based on the attached file.”
    - Manual including screenshots of the software and descriptions of the software functions

| Cognitive Issue                                                                                                                  | Impact Severity                    | Cognitive Principle Violated              | Redesign Suggestion                                                                                                                                                                                                                                                                    |
|----------------------------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Inconsistent color coding for valve states without legends forces operators to rely on memory rather than perceptual recognition | ● 3 - Major Decision-Making Impact | Recognition vs. Recall (Memory Retrieval) | Add a persistent legend in a fixed location showing "Green = Open, Red = Closed" with example valve icons. Consider using shape coding in addition to color (triangles for open, circles for closed) to support colorblind operators.                                                  |
| Critical numerical values displayed in varying font sizes and colors without hierarchical organization                           | ● 3 - Major Decision-Making Impact | Visual Attention & Saliency               | Establish a consistent visual hierarchy: use larger, bold fonts for primary parameters (reactor power, core temp), medium fonts for secondary parameters (flow rates), and smaller fonts for tertiary information (batch numbers). Reserve red color exclusively for alarm conditions. |
| "MAKEUP MODE" control panel uses five unlabeled buttons below mode indicators                                                    | ● 4 - Critical Cognitive Failure   | Recognition vs. Recall (Memory Retrieval) | Add text labels directly on or immediately below each button (MAN, BOR, AUTO, ALT, DIL). Use icons that represent each mode's function. Highlight                                                                                                                                      |

# System Usability Scale (SUS) Results



## Overall Finding:

Usability score of 34/100 indicates a necessity to improve usability.

## Key Findings:

### • Strengths

- Functions are somewhat integrated
- Moderate willingness to use

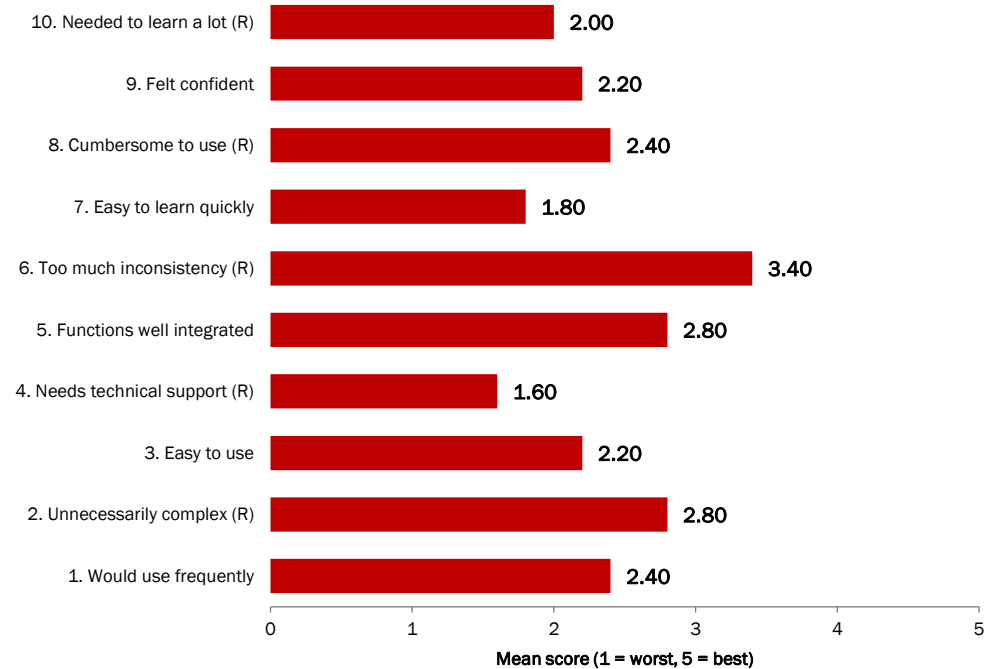
### • Weaknesses

- Needs technical support (1.60)
- Hard to learn (1.80)
- Perceived inconsistency (3.40 – major issue)
- Complexity too high (2.80)

- Overall, a score at this level signals that usability should be treated as a priority, and that targeted design improvements are needed before scaling or further development.

## SUS – Mean score per item

Negative items reversed | Mean total SUS = 34.0 / 100 (n = 5)



Negative items were reverse-scored. Higher values indicate better usability (1 = worst, 5 = best).